

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Thomas Dietz, et al.	Examiner:	Unassigned
Serial No:	To be assigned	Art Unit:	Unassigned
Filed:	Herewith	Docket:	16853
For:	AMPHIPHILIC ORGANOPOLYSILOXANES HAVING POLYESTER GROUPS AND USE THEREOF AS EMULSIFIERS OR DISPERSING AGENTS	Dated:	September 18, 2003

Commissioner for Patents
United States Patent Office
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. U.S. Patent No. 5,475,125, issued December 12, 1995 to O'Lenick, Jr.;
2. U.S. Patent No. 5,411,729, issued May 2, 1995 to O'Lenick, Jr.;

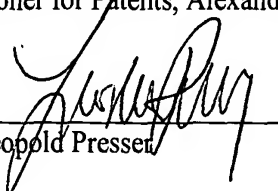
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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450.

Dated: September 18, 2003


Leopold Presser

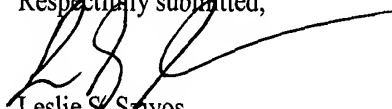
3. U.S. Patent No. 5,385,730 , issued January 31, 1995 to Ichinohe;
4. U.S. Patent No. 5,051,489, issued September 24, 1991 to O'Lenick, Jr.;
5. U.S. Patent No. 4,988,504, issued January 29, 1991 to Zotto, et al.;
6. European Patent Application No., 0 407 089 B1 issued March 10, 1993;
7. European Patent Application No., 0 176 844 B1 issued December 11, 1991;
8. European Patent Application No., EP 0 819 426 A2 issued January 21, 1998;
and
9. Abstract of Japanese Patent No., 04149232 A issued May 22, 1992.

The relevance of European Patent Application No., 0 176 844 B1 and European Patent Application No., EP 0 819 426 A2 is discussed in the application at page five, lines four to seven.

In accordance with the schedule set out in 37 C.F.R. § 1.98 (a)(2)(i), applicants are not required to submit a copy of the above-cited U.S. Patent references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,


Leslie S. Szivos
Registration No.: 39,394

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

LP/rjl
Enclosure PTO 1449

INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Atty. Docket No. 16853		Serial No. Unassigned	
				Applicant Thomas Dietz, et al.			
				Filing Date Herewith		Group Unassigned	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
		5,475,125	12/12/95	O'Lenick, Jr.			
		5,411,729	05/02/95	O'Lenick, Jr.			
		5,385,730	01/31/95	Ichinohe			
		5,051,489	09/24/91	O'Lenick, Jr.			
		4,988,504	01/29/91	Zotto, et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
	1	0 407 089 B1	03/10/93	EPO			
	2	0 176 844 B1	12/11/91	EPO			
	3	EP 0 819 426 A2	01/21/98	EPO			
		JP 04149232 A	05/22/92	Japan			X
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							